04.25.05

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/773,618 Filing Date **TRANSMITTAL** February 6, 2004 First Named Inventor **FORM** Thomas W. DUBENSKY, Jr. Art Unit 1645 (to be used for all correspondence after initial filing) **Examiner Name** J. Graser Attorney Docket Number 6+ 282172002800 Total Number of Pages in This Submission 7 Refs. ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer Identify below): 1. Form PTO/SB/08a/b + copy **Express Abandonment Request** Request for Refund (2 pages) 7 References Information Disclosure Statement CD, Number of CD(s) 3. Return Receipt Postcard (Supplemental - 3 pages) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name MORRISON & FOERSTER LLP (Customer No. 25226) Signature Printed name Aficia J. Hager Date April 21, 2005 44,140

	spondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336621702US
in an envelope addressed to: date shown below.	Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the
date shown below.	(9) up to

Dated: April 21, 2005

Signature:

(Georgina Matos)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336621702US, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, lexandria, VA 22313-1450, on the date shown below.

(Georgina Matos)

Patent Docket No. 282172002800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

Thomas W. DUBENSKY, Jr. et al.

Serial No.:

10/773,618

Filing Date:

February 6, 2004

MODIFIED FREE-LIVING MICROBES,

VACCINE COMPOSITIONS AND METHODS OF USE THEREOF

Examiner:

J. Graser

Group Art Unit:

1645

SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

Pursuant to 37 C.F.R. § 1.704(d), I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Supplemental Information Disclosure Statement.

The documents listed on the attached Form PTO/SB/08a/b were cited in an International Search Report and/or Written Opinion mailed on April 7, 2005, directed to a counterpart international or foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

	This Su	pplemental Information Disclosure Statement is submitted:
	With	the application; accordingly, no fee or separate requirements are required.
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued
	Exam	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97
	(e)(1)	has been provided.
\boxtimes	Withi	n three months of the application filing date or before mailing of a first Office Action
	on the	e merits; accordingly, no fee or separate requirements are required. However, if
	applio	able, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
	After	receipt of a first Office Action on the merits but before mailing of a final Office Action
	or No	tice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to
		this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is
		believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal
		form (PTO/SB/17 is attached to this submission in duplicate.)
		2

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 282172002800.

Dated: April 21, 2005

Respectfully submitted,

Alicia J. Hager

Registration No.: 44,140

MORRISON & FOERSTER LLP 755 Page Mill Road Palo Alto, California 94304-1018 (650) 813-4296

APR 2 1 2005

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	10/773,618		
Filing Date	February 6, 2004		
First Named Inventor	Thomas W. DUBENSKY, Jr.		
Art Unit	1645		
Examiner Name	J. Graser		
Attorney Docket Number	282172002800		
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	, Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	1.	WO-98/33386-A1	08-06-1998	Vanderbilt University		
	2.	WO-00/09156-A1	02-24-2000	Loma Linda University		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹					
	3.	Black, C.G. et al. (February 16, 1998). "Absence of an SOS-like System in Neisseria gonorrhoeae," <i>Gene</i> 208:61-66.				
	4.	Ferguson, L.R. et al. (1987). "Frameshift Mutagenesis by Nitracrine Analogues in Wild-Type uvrB polA and recA Strains of Salmonella typhimurium With and Without Plasmid pKM101," Mutation Research 184:13-21.				
	5.	Gentschev, I. et al. (September 29, 2000). "Delivery of Protein Antigens and DNA by Virulence-Attenuated Strains of Salmonella typhimurium and Listeria monocytogenes," Journal of Biotechnology 83:19-26.				
	6.	International Search Report for PCT Application No. PCT/US2004/023881 filed on July 23, 2004, mailed April 7, 2005, 10 pages.				
	7. -	Written Opinion for PCT Application No. PCT/US2004/023881 filed on July 23, 2004, mailed April 7, 2005, 11 pages.				

^{*}EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.